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Discriminant Validity of Transformational and Transactional Leadership -
A Multi-trait-multi-method Analysis of and Norms for the German Transformational
Leadership Inventory (TLI)

FINAL

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Abstract

The well known constructs of transformational and transactional leadership are still facing problems concerning their discriminant validity as substantive correlations have frequently been observed between them. Nine samples, including 178 supervisors and their 834 followers, completed the German version of the Transformational Leadership Inventory (TLI). Self ratings and aggregated observer ratings were analyzed using a SEM-based multi-trait-multi-method approach (MTMM). First, the factorial structure was confirmed for both rating perspectives and across them. In the MTMM analysis, controlling for the method effects reduced the correlations between leadership constructs from a high to a small resp. medium level and the leadership scales can be discriminated. Facilitating the use of the TLI in practical issues, norms are provided for self and observer ratings.

Keywords: Transformational/transactional leadership, discriminant validity, multi-trait-multi-method

Discriminant Validity of Transformational and Transactional Leadership -
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Over the past two decades, research and practice increasingly focused on the transformational and transactional leadership paradigm (Bass & Bass, 2008; Rowold & Heinitz, 2007). While transformational leaders motivate their followers by means of a value-based, inspiring vision of the future, transactional leaders rely on a quid-pro-quo approach to leadership and exchange tasks and rewards with their respective followers. Despite its broad scientific acceptance, this clear theoretical differentiation still lacks consistent empirical support. Transformational and transactional leadership show substantive correlations in a number of studies (e.g., Bycio, Hackett, & Allen, 1995) as well as in meta-analyses (Judge & Piccolo, 2004). Therefore, the discriminant validity of these two leadership constructs could not yet be empirically established.

A similar problem was observed within the construct of transformational leadership. The Multifactor Leadership Questionnaire (MLQ; Bass, 1985; Bass & Avolio, 2000 for the latest version MLQ-5X short; Rowold, 2005 for the German version) as well as the Transformational Leadership Inventory (TLI; Podsakoff, MacKenzie, Moorman, & Fetter, 1990; Heinitz & Rowold, 2007 for the German version) assess several subscales of transformational leadership (e.g., individual consideration, intellectual stimulation) in order to further differentiate leaders' behavior. These subscales were developed through factor analyses but high intercorrelations remain to question their differentiability (Heinitz & Rowold, 2007; Lowe, Kroeck, & Sivasubramaniam, 1996).

Analyzing the possible sources of these high intercorrelations, two classes can be separated: On the one hand, substantive overlap of the constructs might cause the observed covariance. This intuitive, nearby assumption would unmask the subscales of transformational

leadership as at least being partially redundant. On the other hand, the shared variance of the constructs could rely on the shared methods that were typically used to assess them.

Generally, the leaders' behavior is rated by his or her subordinates within one questionnaire. This same-source same-method bias could have inflated the observed correlations. The multi-trait-multi-method (MTMM) approach (Campbell & Fiske, 1959) is the most important technique for dividing and clarifying the different sources of shared variance.

Consequently, and for the first time, the present study uses the MTMM approach to explore the discriminant validity of transactional and transformational leadership scales as well as the discriminant validity of facets within transformational leadership. In order to exclude same-source bias from the intercorrelations, the leadership constructs were assessed by multiple rating perspectives. In sum, applying the MTMM approach, the first research goal of the present study was to contribute to the important issue of discriminant validity of transformational and transactional leadership, as assessed by the TLI.

Apart from this question of validity, a potential application of the measurement of transformational leadership is explored in the present study: Assuming substantial differences between self and follower ratings of leadership behavior, specific norms are needed for the use of the TLI. Aside from the raters' perspective, further individual and organizational variables are considered that might affect leadership ratings. Consequently, the second goal therefore was to investigate the effects of the rating perspective as well as supervisors' and organizations' characteristics on the average leadership scores. Finally, based on these findings, specific norms – where necessary – were provided for the TLI, using data from nine independent samples acquired in Germany.

The Transformational Leadership Inventory (TLI)

One instrument for the assessment of transformational and transactional leadership is the Transformational Leadership Inventory (TLI), developed by Podsakoff and colleagues

(Podsakoff et al., 1990). The TLI uses 26 items to assess six subscales of transformational leadership, i.e., Articulating a Vision, Providing an Appropriate Model, Fostering the Acceptance of Group Goals, High Performance Expectations, Individualized Support, and Intellectual Stimulation. In addition, the TLI includes one scale for the assessment of transactional leadership, Contingent Reward. The TLI has been validated in at least five empirical studies (Heinitz & Rowold, 2007; MacKenzie, Podsakoff, & Rich, 2004; Podsakoff, MacKenzie, & Bommer, 1996; Podsakoff et al., 1990; Rowold, Borgmann, & Heinitz, 2009). These studies support the factorial and criterion-oriented validity and adequate levels of reliability for both the English and the German version of the instrument.

However, the subscales of transformational leadership are highly intercorrelated, a problem also known when it comes to other instruments assessing transformational leadership. For the Multifactor Leadership Questionnaire (MLQ; Bass & Avolio, 2000) strong intercorrelations between the transformational subscales are reported from Avolio, Bass, and Jung (1999; mean intercorrelation = .80), Vandenberghe, Stordeur, and D'hoore (2002; .89) and Lowe et al. (1996; .79 in a meta-analysis). Correlations of a similar strength were observed between transformational and transactional leadership.

In this study, the TLI was used instead of the MLQ, another well established questionnaire measuring transformational leadership, for several reasons: First, the MLQ still holds some measurement problems, particularly concerning its factor structure (e.g., Avolio et al., 1999; Heinitz, Liepmann, & Felfe, 2005; Yukl, 1999). The TLI, on the other hand, only considers one transactional scale, Contingent Reward. But it seems to bring along a more robust structure that was replicated more reliably in different samples and for different rating perspectives. Second, the TLI (26 items) is shorter than the MLQ (45 items in the MLQ-5X Short) and hence, it is preferred especially in organizational contexts. Consequently, the

practical use of the instrument is even supposed to increase as norms are provided within this paper to support its applicability.

Construct Validity of Transformational Leadership

Concerning theoretical challenges, the high intercorrelations between transformational and transactional leadership contradict the assumptions of the full range leadership model which clearly postulates distinct constructs (Avolio, 1999). Hence, the relative large portion of shared variance can be regarded as a deficit in discriminant validity. This is an important limitation, as discriminant validity is a cornerstone of construct validity. Furthermore, the unique effects of the transformational and transactional leadership are hardly separated and their incremental validities for outcome measures (e. g., subordinates' satisfaction, extra effort, performance) cannot be detected reliably. For practical issues, highly overlapping constructs in a questionnaire imply redundancy and therefore inefficiency. Accordingly, the structure of the MLQ is still discussed with regard to its debatable parsimony (Den Hartog, Van Muijen, & Koopman, 1997; Heinitz et al., 2005). In order to overcome these theoretical and practical limitations, it is necessary to further clarify the inherent factorial structure of transformational leadership and its relationship to transactional leadership. The present study tries to meet these challenges by taking the different perspectives of self and other rating into account using a multi-trait-multi-method (MTMM) design.

Potential Effects on Leadership Ratings

Focusing on the different perspectives brings forward another practical issue. A short and valid instrument like the TLI can profitably be used by human resource practitioners to give detailed feedback to supervisors (e.g., for purposes of 360° assessment or training). But accurate feedback is only possible if norms are available to evaluate the aggregated answers of the managers themselves and their respective followers. Consistent with intuitive assumptions, empirical evidence shows that individuals rate themselves more favorable than

they are rated by others (e.g., Atwater & Yammarino, 1997; Harris & Schaubroeck, 1988).

Thus, at least for the different perspectives, separate norms are assumed to be required for the TLI.

In addition, some further individual and organizational variables should be inspected concerning their influence on the average leadership ratings. For example, Eagly and colleagues (Eagly, Johannesen-Schmidt, & van Engen, 2003) found female leaders exhibiting significantly more transformational leadership than their male colleagues. These gender-specific differences showed very small effect sizes. Nevertheless, given the importance of gender equity, and given the difficulties of women having access to top management positions (i.e., the 'glass ceiling' effect), it seems important to explore potential gender differences in the TLI-scales.

Another characteristic of leaders is their hierarchical level within the organization (e.g., top management vs. middle management vs. first-level supervisor). From a theoretical perspective, it might be argued that managerial functions and experiences may vary with the hierarchical level of the leader. For example, providing individualized support, one facet of transformational leadership, should be more frequent at lower levels since the direct interaction between leader and led is among the key tasks of lower-level managers. In line with this argumentation, prior empirical research found that at lower levels, transformational leadership was observed more frequently than at higher levels of the organization (cf. Lowe et al., 1996).

Organizations can be characterized either as being public or private organizations. Public organizations are often highly bureaucratic and as a consequence, established and stable structures, policies and regulations limit the possible influence of transformational leadership (Antonakis, Avolio, & Sivasubramaniam, 2003; Javidan & Waldman, 2003).

Thus, in addition to the rating perspective, the effects of supervisors' gender and the hierarchical level as well as the effects of the type of organization (public vs. private) on the TLI scores were investigated. If any of these potential effects could be observed, specific norms for the respective groups should be generated.

Method

Samples and Procedures

In order to enhance external validity of results, an effort was made to obtain several samples for the purpose of the present study. For the profit and the non-profit sector, data from four and five, respectively, independent organizations located in Germany, were collected. For the profit sector, samples of employees from a railway company (#1), a company specialized on human resource programs (Sample #2) and from senior physicians of a university hospital (#3) were drawn. In addition, a newspaper sample (#4, for a more detailed description see below) was acquired. For the non-profit section, a sample of pastors from the roman-catholic church was drawn (sample #5). Next, several orchestras with their conductors (#6) and several members of police departments (Sample #7) participated in the study. So did employees from governmental agencies involved in local administration and courts (Sample #8). Additionally, a snowball sample (#9) of non-profit supervisors and their followers was acquired. Overall, these nine samples represent a wide variety of profit and non-profit organizations.

For all samples (except for the newspaper sample, #4, and the snowball sample, #9), the respective organizations were contacted. The goal of the study was communicated to the participants. Supervisors took part voluntarily and invited their followers to deliver their ratings. For matching supervisors' and followers' data, each respondent had to provide the name of the supervisor in focus. Data was collected via an online survey. Respondents filled out the survey during work time and voluntarily. As for the newspaper sample (#4),

participants were recruited via a newspaper article, which included a link to the survey. Each participant was asked whether he/she was a member of a profit or non-profit organization. Respondents who indicated membership in non-profit organizations were excluded since only profit organizations were part of this study. Each person participating in the survey had the opportunity to take part in a lottery. The participants of the snowball sample (#9) were contacted via e-mail and asked to follow a link and to complete the questionnaire. A lottery was organized here, too. Only supervisors and their followers from non-profit organizations were included in this study. In the newspaper sample (#4) and the snowball sample (#9), the participants had the opportunity to invite their followers if they were leaders, or to invite their supervisor if they took part as a follower. The demographic characteristics of the nine samples are summarized in Table 1.

[Insert Table 1 about here]

Questionnaire

As mentioned above, the Transformational Leadership Inventory (TLI; Podsakoff et al., 1990) is a well established instrument for the assessment of six scales of transformational and one scale of transactional leadership. The six scales of transformational leadership are (1) Articulating a Vision (AV, 5 items, e.g. "...paints an interesting picture of the future for our group."), (2) Providing an Appropriate Model (PAM, 3 items, e.g. "...provides a good model to follow."), (3) Fostering the Acceptance of Group Goals (FAG, 4 items, e.g. "...encourages team members to be 'team players'."), (4) High Performance Expectations (HPE, 3 items, e.g. "...will not settle for second best."), (5) Individualized Support (IS, 4 items, e.g. "...shows respect for my personal feelings."), (6) Intellectual Stimulation (ISN, 3 items, e.g. "...has stimulated me to think about old problems in new ways."). As transactional scale Contingent Reward is measured (CR, 4 items, e.g. "...commends me when I do a better than average job."). For the follower rating, the items were preceded by the phrase "The person I describe

...”; in the self rating of the supervisors, the pronoun “I” was shown above the items. The items were to be answered on a five-point Likert-type scale ranging from *strongly disagree* to *strongly agree*. In the present study, a German validated version of the TLI (Heinitz & Rowold, 2007) was utilized. In several empirical studies, this version demonstrated adequate levels of internal consistency estimates per scales, as well as adequate levels of factorial and criterion-oriented validity (Heinitz & Rowold, 2007; Rowold et al., 2009).

Preliminary Analyses

For the multi-trait-multi-method analysis 178 self ratings of supervisors and 834 follower ratings of their respective followers were matched. For the followers belonging to the same supervisor it was checked if the ratings are sufficiently homogeneous as to be aggregated. The interrater agreement was evaluated using r_{wg} , ICC(1) and ICC(2) (LeBreton & Senter, 2007). The average r_{wg} ranged for the seven scales from .66 to .77, with five of the scales above the cut point for high agreement of .70 ($M = .73$). For teams with three or more followers, ICC(1) varied between .32 and .49 ($M = .41$) and ICC(2) between .59 and .75 ($M = .67$), with indices significantly different from zero for all scales ($F = 2.43 - 3.92$; all $p < .01$). Therefore, followers’ ratings of the same supervisor showed satisfactory levels of agreement and were aggregated as mean.

The descriptive characteristics for the self ratings and the aggregated follower ratings are summarized in Table 2. Internal consistencies estimates (Cronbach’s alphas) were higher for the follower ratings but given the small number of items per scale (i.e., 3 to 5) still acceptable for the self ratings as well (Cortina, 1993). As expected, medium to high zero-order intercorrelations were found between the transformational leadership scales as well as between transactional (CR) and transformational leadership, confirming the above described problems of discriminant validity.

[Insert Table 2 about here]

CFA and Invariance Analyses

In the first step of confirmatory factor analyses (CFA) the measurement model is tested separately for the follower rating and the self rating. In the target model each of the seven trait factors is linked to its corresponding items. Due to the high number of indicators, the items were combined into two parcels per scale (Bandalos, 2002; Landis, Beal, & Tesluk, 2000) resulting in 14 measures for the follower rating and 14 measures for the self rating.

MTMM Analysis

The MTMM was conducted as a confirmatory factor analysis (e.g., Marsh, 1989). The TLI scales were modeled as trait factors, and the two rating perspectives were modeled as method factors. Each indicator loads on its trait factor (one of seven TLI scales) and on the respective method factor (self vs. follower rating). Applying a correlated trait correlated method (CTCM) model, the trait factors are allowed to correlate, as do the method factors. However, between these two groups of factors no intercorrelations are permitted. The variance of one error was fixed to 0.1 following the guidelines of Chen and colleagues (Chen, Bollen, Paxton, Curran, & Kirkby, 2001). This procedure yielded an overidentified model with 301 degrees of freedom.

Figure 1 illustrates the basic principle underlying the CTCM model. For the sake of clarity, only three TLI subscales were included in the figure, while in the actual analyses, all seven scales were included simultaneously.

[Insert Figure 1 about here]

An omnibus test based on Small's statistics (Looney, 1995) revealed a significant violation of the multivariate normality ($\chi^2 = 164.56$, $df = 56$, $p < .001$). The Unweighted Least Squares (ULS) discrepancy function was used as estimation procedure as it is robust (a) for use with data that are not normally distributed, and (b) with relatively small sample sizes (Byrne, 2001; Ximénez, 2006).

Several fit indices were computed to assess the model fit. In addition to the χ^2 values, the goodness-of-fit (GFI) and the adjusted GFI (AGFI) were calculated. For these indices, a value of .90 as minimum was postulated for appropriate fit (Hu & Bentler, 1995). The standardized root mean square residual (SRMR) was considered as well, with values below .08 indicating good fit (Hu & Bentler, 1999).

Apart from the fit indices that are provided in a SEM based MTMM, it allows to partition the variance of the indicators into trait, method, and error components (cf. Bagozzi, Youjae, & Phillips, 1991). Squaring the factor loading, their relative portions were calculated.

Development of Norms

For practical use of the TLI, norms are necessary so that the individual scores can be judged against those of a relevant comparison group. Several variables were identified that might affect the average score level of transformational and transactional leadership. For the rating perspective (self vs. follower), the supervisor's gender, the hierarchical level (lower, middle, higher), and the type of organization (profit, non-profit) it was checked via variances of analysis if the respective subgroups show significant differences in the seven TLI scales. Based on these findings, specific norms (T-values) were developed where significant differences could be observed.

Results

Factorial Validity and Invariance

Before conducting the MTMM analysis, the factorial validity of the measurement model was tested separately for the self ratings of supervisors and the ratings of their followers. As described above, two item parcels per scale were used as indicators. The fit indices in Table 3 confirm the measurement model for both perspectives.

In the second step, factorial invariance across perspectives was tested. Within the different forms of factorial invariance, configural invariance is the most basic one (Steenkamp

& Baumgartner, 1998; Vandenberg & Lance, 2000). It assumes that the indicators measure the same leadership scale across rating perspectives. Therefore, it postulates that the specified model has the same non-zero and zero factor loadings for both perspectives. The next form of factorial invariance is metric invariance, where the factor loadings are required to have the same loadings across rating perspectives. The multi-group CFA revealed a good model fit in both steps of the invariance analyses (Table 3), confirming the configural and the metric invariance. In sum, these results give strong support for the applicability of the MTMM analysis to the present data.

MTMM Analysis

The MTMM analysis itself was modeled as CTCM and revealed very good fit indices, GFI = .99, AGFI = .98, SRMR = .05 (Table 3).

[Insert Table 3 about here]

Further analyses of the model gave insight into factor loadings and the various variance sources. As summarized in Table 4, for each indicator the proportions of variance that were due to trait, method, and error, were calculated by squaring the factor loadings. As numerous indicators had high loadings on the method factors, strong method effects could be observed. Averaged across the indicators, a considerable portion of their variance (45%, see last row of Table 4) was explained by the method of self vs. follower rating.

[Insert Table 4 about here]

The correlations between the latent trait variables (i.e., leadership constructs) were used to explore the discriminant validity of the scales (Table 5). As a result of the substantial method effects, dramatic changes could be observed comparing these latent correlations with the observed ones (Table 2). The zero-order correlations (mean $|r| = .64$ for follower ratings and mean $|r| = .37$ for self ratings) reached on average a high level (Cohen, Cohen,

West, & Aiken, 2002), indicating the lack of discriminant validity. However, controlling for the method factors, the correlations decreased to a small to medium level (mean $|r| = .20$).

[Insert Table 5 about here]

Development of Norms

First, the descriptive statistics of the seven TLI scales were examined for the total sample (Table 6). Absolute values for skewness varied among the scales for follower and self ratings between .10 and .88 and between .17 and .66, respectively. Those for kurtosis ranged from .32 to .48 and from .02 to .50, respectively. As none of the values indicated a substantial deviance from a normal distribution (Muthén & Kaplan, 1985), the TLI scales can be regarded as normally distributed. The internal consistencies (Cronbach's alphas; Table 6) of the seven TLI-scales supported the notion that transformational and transactional leadership can be assessed with acceptable levels of reliability (.68 - .90 for follower ratings and .62 - .83 for self ratings).

[Insert Table 6 about here]

In order to identify those variables that have significant effects on the TLI scales, group means were compared for rating perspective (follower vs. self rating), supervisors' gender, the hierarchical level (lower, middle, higher) and type of organization (profit vs. non profit).

As expected, the average TLI scores were significantly higher for the self rating than for the follower ratings, except for 'High Performance expectations' where no significant difference could be observed (Table 7). For the individual and organizational variables, only very few significant differences could be found between the subgroups of gender, hierarchical level and type of organization (Table 7). Therefore, specific norms were developed for self vs. follower ratings, but not for any of the other subgroups (see Appendix for the norms in Table A1).

[Insert Table 7 about here]

Discussion

The results of the present study should be discussed with two different foci: On the one hand, focusing on the instrument that was used, the Transformational Leadership Inventory (TLI), several implications can be described. Furthermore, norms were provided that enable the application of the German version in practical issues and the interpretation of individual results. On the other hand, the results can be regarded in terms of their theoretical implications for the transformational/transaction leadership theory. Limitations of this study and suggestions for future research agendas are discussed within the two paragraphs.

Implications for Leadership Theory

For the first time in leadership research, scales measuring aspects of the theoretically discrete constructs of transformational and transactional leadership could be discriminated empirically. This outcome could be achieved although the subscales of transformational and transactional leadership showed considerable zero-order correlations in the present sample, corresponding to previous findings. Utilizing the SEM-based MTMM methodology to analyze self and follower ratings, substantive method effects could be observed. These method effects were identified as source of shared variance between the leadership constructs that has limited their discriminate validity. Controlling for the method effects, the correlations between the scales decreased considerably, yielding discriminant leadership constructs.

As a first limitation of the study, it should be pointed out that the reduction of correlations was achieved on the level of the leadership scales. A striking evidence for the construct validity of transformational and transactional leadership would require at least two further steps: First, within the two constructs, convergent validity has to be shown for the respective subscales. However, transactional leadership in the TLI only consists of one scale, Contingent Reward, the conclusions are strictly speaking limited to this facet. Next, the

results have to be replicated with other samples and with other instruments. In particular, it should be explored whether the scales of transformational and transactional leadership of the MLQ could be separated via MTMM analyses. It has to be examined carefully if the problems concerning its factorial structure could also be alleviated in this manner. Furthermore, replicating the results with other instruments could help to evaluate in how far the present results might have been influenced by the item-parcel-assignments within the already short TLI scales.

Before explicating some further aspects for future research topics, some implications of the results should be described. Controlling for method effects in a MTMM analysis yielded differentiable leadership constructs. As consequence for the theory of transformational and transactional leadership, this finding can at last elucidate why the theoretically discrete constructs remain to show substantial correlations. The presented procedures and results show why such observed zero-order correlations do not inevitably menace the discriminant validity of the constructs. Leadership researchers thus can now have more confidence in the construct validity of the transformational/transactional leadership theory. As implication for their future research work, it can be recommended to use several rating perspectives in order to be able to control for method effects. Practitioners frequently heed this advice already by collecting 360 degree ratings from different perspectives and comparing these ratings for the individual leaders.

Implementing such a multi-source design in a research context, the present study yielded discriminant leadership constructs. Future research could build on and extend this kind of methodological approach in at least three ways: First, other rating perspectives should be regarded and analyzed in MTMM studies, for example peer ratings and ratings from the supervisor of the leader in focus. In this way, the unique effects of the different perspectives could be analyzed. Second, as for the perspectives, the differences between the latent traits

(Table 4) could be further analyzed – for example, in how far are the different scales influenced by the different perspectives. Third, the relationships of latent (i.e., multi-source variance controlled for) transformational and transactional leadership constructs with other individual or organizational variables can be analyzed. For example, the contribution of these latent constructs to the prediction of individual outcomes (e.g., affective commitment) and objective performance data (e.g., branch-level profit) could be investigated: Do these latent constructs show lower correlations to subjective satisfaction measures as the common source variance is controlled for? Will latent leadership constructs contribute more or less to the prediction of objective data if the effects of the specific perspectives are not an issue any more? Additionally, the incremental validities of the leadership constructs could be specified more reliably.

Implications for the Transformational Leadership Inventory

Concerning the Transformational Leadership Inventory, its factorial structure was confirmed again, replicating prior research (e.g., Heinitz & Rowold, 2007). However, the present study went beyond prior research by providing evidence for its factorial validity for the two perspectives of self and follower ratings. Also, factorial invariance of these perspectives was supported for the first time.

In contrast to their invariant structure, self and follower ratings showed significant differences in average score level. In accordance with previous findings, supervisors evaluate their own leadership behavior more favorable than do their respective followers. The TLI scores are thus higher for the self rating than for the follower rating, indicating the demand for specific norms for the two rating perspectives. The supervisor's gender had no significant effect on the TLI scores, nor did the hierarchical level, nor the type of organization (public vs. private). As this lack of significance might partly be due to the limited sample sizes within

subgroups, the observed differences are described with respect to their effect sizes and in the light of previous findings.

Concerning follower ratings, at least slightly higher levels of transformational leadership were expected for female supervisors, particularly for Individualized Support (Eagly et al., 2003). Apart from sample size, in this study, female and male leaders did not show any difference at all in the followers' ratings of their Individualized Support ($M = 3.84$ for both groups, Table 7). For the other scales as well, no gender differences reached the level of a small effect size (Cohen, 1988).

For leaders at lower hierarchical levels, the meta-analysis of Lowe et al. (1996) revealed higher scores of transformational leadership although the authors expected the reverse. In the present data, the follower ratings increased with the hierarchical level of the supervisor for some scales and decreased for others. For Individualized Support, the construct for which Lowe et al. (1996) found the greatest effect, almost no differences could be observed (Table 7).

For the impact of the type of organization on the amount of transformational leadership, it was argued that within public organizations, bureaucratic structures may limit transformational leadership behavior in comparison to private companies. While Lowe et al. (1996) found – contrary to the expectations – greater mean scores of transformational leadership in public organizations, the present data showed hardly any differences.

In sum, the group comparisons of the follower ratings revealed fewer differences than expected. Future research should clarify which context or sampling factors moderate the occurrence and magnitude of the differences (for a detailed discussion on possible context factors and psychological mechanisms see Antonakis et al., 2003; Eagly et al., 2003; Lowe et al., 1996). For the present paper, the samples themselves and the selection procedure of leaders and their followers might have played a role.

For the self ratings of the supervisors, greater differences could be observed between the subgroups of the supervisor's gender, hierarchical level, and type of organization. The differences did not reach a level of significance as the sample sizes were too small. For small effects ($d = .20$), an a priori power analysis (Faul, Erdfelder, Lang, & Buchner, 2007) yielded appropriate sample sizes of $N = 620$ and 858 for the common levels of power of $.80$ and $.90$, respectively. Given the follower perspective as common way of leadership assessment, the present results for the self ratings cannot be contrasted with findings of systematic group comparisons or meta-analyses. Together with the small sample size, they should therefore be regarded as first step towards detailed analyses of self rated transformational leadership in future research.

As no reliable group differences could be found, specific norms were developed only for the two rating perspectives. These norms allow practitioners to use the TLI in organizational settings and to give individual feedback to supervisors who described their own behavior and/or who were described by their followers. However, as norms for the German TLI were developed for the first time, they should be used with caution (see Appendix for norm tables and instructions for the application of the norms).

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Table 1

Demographic and Organizational Characteristics of Samples

	Followers										Supervisors											
	N	Sex [%]		Age [y]		Tenure [y]		Education [%]			N	followers / supervisor		Sex [%]		Age [y]		Tenure [y]		hierachical level [%]		
		f	m	M	SD	M	SD	prim. hs	sec. hs	uni		M	SD	f	m	M	SD	M	SD	low er	mid le	high er
A) Profit																						
Samples																						
1) Railway Company	37	19	81	44.3	9.5	16.7	10.4	38	19	43	5	7.4	1.7	0	100	45.8	6.8	21	12.9	60	40	0
2) HR Company	192	66	34	40.7	9.5	6.0	4.7	11	7	82	35	5.5	3.0	66	34	45.5	8.1	10.9	6.1	-	-	-
3) Senior Physicians	84	-	-	-	-	-	-	-	-	-	10	8.4	9.8	-	-	-	-	-	-	-	-	-
4) Newspaper Sample	83	67	33	36.2	10.4	8.4	7.8	45	30	25	48	1.7	1.3	29	71	42.4	9.5	10.7	8.3	67	33	0
B) Non-profit																						
Samples																						

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5) Roman-Catholic Pastors	76	65	35	44.3	8.7	11.9	9.3	30	17	52	12	6.3	5.4	42	58	47.8	7	13.8	7.3	-	-	-
6) Orchestras	77	56	44	30.8	15.8	11.4	11.5	40	39	21	7	11	4.5	17	83	35.7	13. 2	15	8.9	-	-	-
7) Police Departments	161	8	92	44.8	8.1	16.4	10.4	32	17	51	39	4.1	4.1	0	100	47.5	5.7	14.1	8	21	49	31
8) Governmental Agencies	105	47	53	41.5	10.1	11.3	10.1	27	10	64	15	7	4.2	33	67	49.4	5.3	9	6.3	7	80	13
9) Snowball	19	37	63	33.7	8.5	9.5	7.6	37	21	42	7	2.7	1.9	0	100	45.1	10. 8	12.6	4.7	43	43	14
Total	834	46	54	40.5	11.0	10.9	9.7	29	17	54	178	4.7	4.5	29	71	45.2	8.5	12.1	7.8	25	54	22

Note. f = female; m = male; prim. hs. = primary high-school; sec. hs. = secondary high school. Dashes indicate cases where the respective organization detained from providing information about the respective information.

Table 2

Descriptive Statistics and Correlations for Aggregated Follower Ratings and Self Ratings

	Aggregated			Supervisors'			Correlations						
	follower ratings			self ratings			AV	PAM	FAG	HPE	IS	ISN	CR
	<i>M</i>	<i>SD</i>	<i>α</i>	<i>M</i>	<i>SD</i>	<i>α</i>							
Articulating a Vision	3.36	0.75	.93	3.56	0.66	.83		.57**	.52**	.55**	.15*	.64**	.33**
Providing an Appropriate Model	3.38	0.73	.83	3.70	0.57	.62	.78**		.45**	.39**	.23**	.44**	.33**
Fostering the Acceptance of Group Goals	3.56	0.82	.93	4.00	0.60	.79	.86**	.76**		.37**	.19**	.44**	.39**
High Performance Expectations	3.46	0.63	.70	3.45	0.77	.68	.44**	.26**	.28**		.00	.38**	.34**
Individualized Support	3.91	0.72	.92	4.13	0.65	.62	.70**	.72**	.73**	.06		.19**	.32**
Intellectual Stimulation	3.23	0.71	.87	3.60	0.60	.67	.79**	.71**	.69**	.32**	.54**		.37**
Contingent Reward	3.66	0.81	.92	3.89	0.65	.76	.77**	.71**	.78**	.32**	.77**	.64**	

Note. N = 178. Coefficients below the diagonal represent correlations for aggregated follower ratings, those above the diagonal represent correlations for self ratings; AV = Articulating a Vision; PAM = Providing an Appropriate Model; FAG = Fostering the Acceptance of Group Goals; HPE = High Performance Expectations; IS = Individualized Support; ISN = Intellectual Stimulation; CR = Contingent Reward; * $p < .05$, ** $p < .01$.

Table 3

Results of Confirmatory Factor Analyses

	χ^2	<i>df</i>	GFI	AGFI	SRMR
Step 1) Measurement Model					
Follower Rating - 7 Factors	3.199	56	1.00	1.00	0.02
Self Rating - 7 Factors	9.434	56	0.99	0.98	0.04
Step 2) Invariance Analyses (Follower vs. Self Rating)					
Configural Invariance	12.633	112	1.00	0.99	0.02
Metric Invariance	55.238	126	0.99	0.98	0.05
Step 3) MTMM Analyses					
CTCM	58.938	301	0.99	0.98	0.05

Note. GFI = Goodness of Fit Index, AGFI = Adjusted Goodness of Fit Index, SRMR = Standardized

Root Mean Square Residual.

Table 4

Sources of Variances in the CTCM Model for Each Indicator (for Parcel 1 / Parcel 2, Respectively)

	Trait	Method	Error
Follower Rating			
Articulating a Vision	.15 / .09	.77 / .78	.05 / .08
Providing an Appropriate Model	.34 / .05	.58 / .72	.04 / .16
Fostering the Acceptance of Group Goals	.13 / .22	.71 / .77	.12 / .01
High Performance Expectations	.36 / .28	.07 / .08	.26 / .43
Individualized Support	.02 / .02	.67 / .66	.17 / .17
Intellectual Stimulation	.25 / .32	.53 / .40	.13 / .17
Contingent Reward	.00 / .00	.81 / .71	.12 / .22
Self rating			
Articulating a Vision	.13 / .19	.58 / .47	.15 / .15
Providing an Appropriate Model	.00 / .00	.46 / .24	.20 / .40
Fostering the Acceptance of Group Goals	.05 / .09	.43 / .39	.24 / .21
High Performance Expectations	.37 / .13	.24 / .15	.25 / .74

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Individualized Support	.48 / .59	.06 / .10	.25 / .15
Intellectual Stimulation	.00 / .01	.36 / .47	.24 / .33
Contingent Reward	.67 / .14	.35 / .16	.10 / .24
Mean	.18	.45	.21

Table 5

Estimated Correlations among Latent Trait Factors

	AV	PAM	FAG	HPE	IS	ISN	CR
Articulating a Vision							
Providing an Appropriate Model	-.05						
Fostering the Acceptance of Group Goals	.27	.06					
High Performance Expectations	-.53	.08	-.01				
Individualized Support	-.23	-.06	-.22	.29			
Intellectual Stimulation	.32	.35	.09	-.06	-.21		
Contingent Reward	.24	.14	.24	.09	-.31	.24	

Note. AV = Articulating a Vision; PAM = Providing an Appropriate Model; FAG = Fostering the Acceptance of Group Goals; HPE = High Performance Expectations; IS = Individualized Support; ISN = Intellectual Stimulation; CR = Contingent Reward.

Table 6

Descriptives for Norm Samples

	<i>M</i>	<i>SD</i>	Skewness	Kurtosis	Cronbach's Alpha
<i>Follower Ratings (N = 834)</i>					
Articulating a Vision	3.30	0.88	-0.38	-0.33	0.89
Providing an Appropriate Model	3.30	0.96	-0.38	-0.38	0.82
Fostering the Acceptance of Group Goals	3.49	0.98	-0.52	-0.32	0.90
High Performance Expectations	3.48	0.82	-0.10	-0.48	0.68
Individualized Support	3.76	0.95	-0.88	0.38	0.89
Intellectual Stimulation	3.16	0.94	-0.19	-0.41	0.85
Contingent Reward	3.55	1.04	-0.53	-0.48	0.89
<i>Self Ratings (N = 178)</i>					
Articulating a Vision	3.56	0.66	-0.34	0.05	0.83
Providing an Appropriate Model	3.70	0.57	-0.23	0.23	0.62
Fostering the Acceptance of Group Goals	4.00	0.60	-0.48	-0.02	0.79
High Performance Expectations	3.45	0.77	-0.27	-0.27	0.68

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Individualized Support	4.13	0.65	-0.63	-0.50	0.62
Intellectual Stimulation	3.60	0.60	-0.17	-0.41	0.67
Contingent Reward	3.89	0.65	-0.66	0.26	0.76

Table 7

Comparisons of Means for Groups, Divided According to Rating Perspective, Supervisor's Gender, Hierarchical Level and Type of Organization

		AV			PAM		FAG		HPE		IS		ISN		CR	
		<i>N</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>
Rating Perspective	Follower Rating	834	3.30	0.88	3.30	0.96	3.49	0.98	3.48	0.82	3.76	0.95	3.16	0.94	3.55	1.04
	Self Rating	178	3.56	0.66	3.70	0.57	4.00	0.60	3.45	0.77	4.13	0.65	3.60	0.60	3.89	0.65
	<i>F</i> (1, 1010)		13.15**		28.37**		44.89**		0.14		24.18**		36.08**		16.97**	
Follower Rating (N = 834)																
Supervisor's Gender	Female	234	3.23	0.96	3.27	1.03	3.54	1.04	3.46	0.74	3.84	0.98	3.09	0.97	3.65	1.03
	Male	503	3.37	0.82	3.38	0.90	3.56	0.89	3.51	0.85	3.84	0.84	3.16	0.88	3.60	0.98
	<i>F</i> (1, 735)		4.42*		1.98		0.07		0.62		0.01		0.82		0.36	
Hierarchical Level of Supervisor																
	Lower	65	3.31	0.74	3.37	0.76	3.70	0.81	3.25	0.68	3.83	0.80	3.24	0.88	3.71	0.91
	Middle	157	3.27	0.77	3.41	0.95	3.58	0.86	3.35	0.79	3.97	0.83	3.11	0.86	3.69	0.98
	Higher	103	3.55	0.75	3.62	0.86	3.52	0.81	3.86	0.85	3.85	0.81	3.23	0.80	3.65	0.95

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	<i>F</i> (2, 322)		4.60*		2.18		0.93		17.11**		1.02		0.87		0.11	
Type of Organization	Profit	396	3.27	0.97	3.23	1.00	3.42	1.08	3.49	0.81	3.74	1.03	3.21	1.03	3.54	1.11
	Non Profit	438	3.34	0.80	3.36	0.91	3.55	0.87	3.47	0.83	3.79	0.87	3.11	0.84	3.57	0.97
	<i>F</i> (1, 832)		1.23		4.13*		3.53		0.04		0.55		2.32		0.19	
Self Rating (N = 178)																
Supervisor's gender	Female	48	3.70	0.64	3.76	0.53	4.12	0.53	3.55	0.75	4.22	0.57	3.67	0.59	4.04	0.58
	Male	118	3.53	0.65	3.68	0.56	3.98	0.60	3.43	0.75	4.11	0.67	3.58	0.59	3.84	0.68
	<i>F</i> (1, 164)		2.20		0.65		1.90		0.89		1.11		0.79		3.22	
Hierarchical Level of Supervisor	Lower	17	3.39	0.73	3.57	0.50	3.81	0.70	3.26	0.81	3.88	0.72	3.39	0.52	3.59	0.51
	Middle	37	3.28	0.70	3.66	0.65	4.00	0.55	3.22	0.73	4.09	0.71	3.46	0.53	3.86	0.70
	Higher	15	3.79	0.39	3.76	0.60	3.87	0.65	3.64	0.67	4.13	0.51	3.89	0.51	3.85	0.54
	<i>F</i> (2, 66)		3.17*		0.38		0.65		1.86		0.70		4.45*		1.17	
Type of	Profit	98	3.63	0.66	3.77	0.53	4.00	0.61	3.59	0.76	4.16	0.66	3.63	0.62	3.91	0.69

Organization

Non Profit	80	3.47	0.65	3.61	0.60	4.01	0.58	3.28	0.74	4.10	0.64	3.57	0.57	3.86	0.60
<i>F</i> (1, 176)		2.63		3.59		0.01		7.49*		0.37		0.37		0.24	

Note. AV = Articulating a Vision; PAM = Providing an Appropriate Model; FAG = Fostering the Acceptance of Group Goals; HPE = High Performance Expectations; IS = Individualized Support; ISN = Intellectual Stimulation; CR = Contingent Reward; * $p < .05$, ** $p < .01$.

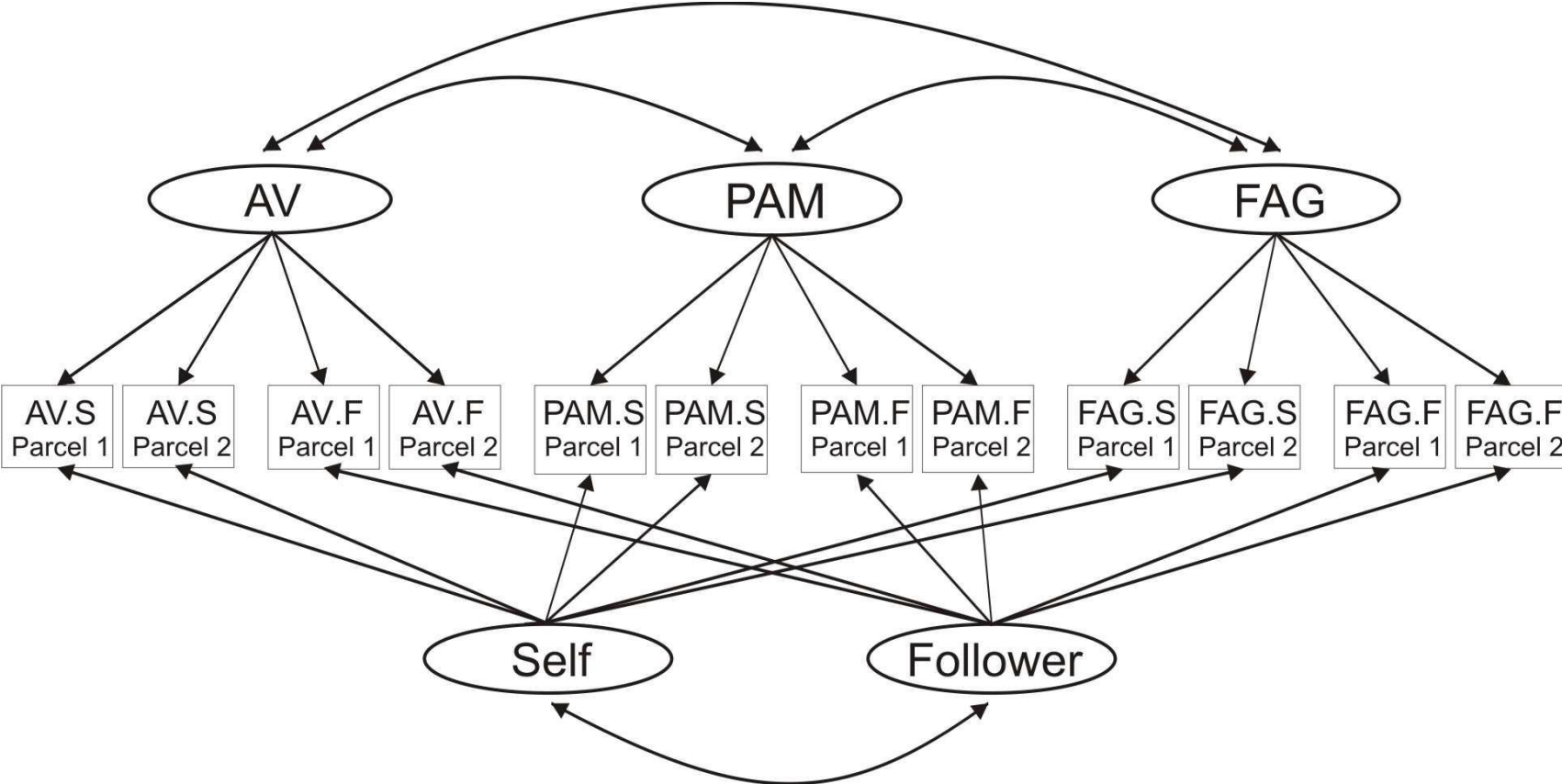


Figure 1. Outline of the principles underlying the CTCM analysis. For the sake of clarity, only three of the seven TLI subscales are included in this Figure; AV = Articulating a Vision; PAM = Providing an Appropriate Model; FAG = Fostering the Acceptance of Group Goals.

Appendix A

Norms for the German TLI

For the application of the TLI in practical contexts, norms are provided based on the aggregated samples described above. To calculate the norms, raw scores of the seven scales (i.e., means of the items or recoded items where necessary, see Heinitz & Rowold, 2007) were transformed via their cumulative distribution function to T-scores with a mean of 50 and a standard deviation of 10. For the TLI raw scores, maxima of .5-intervalls are assigned to T-scores in Table A1.

To transform an individual raw score into a T-score, one should look for this raw score or for the next highest score in the first column of Table A1. Thereafter, one should follow this row to the right and read off the T-scores for the scales and rating perspectives needed. For example, a raw score of 2.4 in a follower rating for Individualized Support becomes a T-score of 37 (following the row of 2.5 as the next highest raw score to the IS-column in the left part of the table), indicating a rather low rating. According to widely-used guidelines, the range from one standard deviation below average ($T = 40$) and one standard deviation above average ($T = 60$) is called “average”, including per definition 68% of the participants. By transforming individual raw scores to T-scores, they can be compared to the results of the norm sample. In this way, the results of supervisors’ self ratings and follower ratings can be interpreted as low, average or high.

As significant differences of the scores could not be observed for the corresponding subgroups (Table 7), these norms can be applied to female and male supervisors, leaders from different hierarchical levels (lower, middle, higher) and different types of organizations (profit and non-profit). As these differences had been found in other samples, users of the norms should pay attention to possible group differences. Furthermore, due to the sampling

procedure, the norms should be used with caution in contexts where leaders and followers do not participate on a voluntary basis.

Table A1

Norms (T-values with a mean of 50 and a standard deviation of 10) for the German TLI, Specifically for Rating Perspective (Follower Rating, and Self Rating)

Raw Score	Follower Rating							Self Rating						
	AV	PAM	FAG	HPE	IS	ISN	CR	AV	PAM	FAG	HPE	IS	ISN	CR
1.0	24	26	25	20	21	27	25							
1.5	28	29	30	24	26	30	30							
2.0	35	36	35	32	31	38	35	26	20		31		23	21
2.5	40	40	40	36	37	41	40	32	26	25	35	25	29	29
3.0	47	47	45	44	42	48	45	42	38	33	44	33	40	36
3.5	52	51	50	50	47	53	49	48	44	42	48	40	46	44
4.0	58	57	55	56	53	59	54	57	55	50	57	48	57	52
4.5	62	62	60	60	58	63	59	63	61	58	64	56	62	59
5.0	69	68	65	68	63	70	64	72	73	67	70	63	73	67

Note. AV = Articulating a Vision; PAM = Providing an Appropriate Model; FAG = Fostering the Acceptance of Group Goals; HPE = High Performance Expectations; IS = Individualized Support; ISN = Intellectual Stimulation; CR = Contingent Reward.